

Regulatory and Audit Committee

Title:	Risk Management Group - Update
Date:	15 th April 2015
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Local members affected:	None

For press enquiries concerning this report, please contact the media office on 01296 382444

Summary

This report summarises the discussions at the Risk Management Group held on 3rd February 2015 to review progress of the Council's Risk Management framework.

Recommendation

Members are asked to note the report.

Summary

1. The Risk Management Group (RMG) met on 3rd February 2015. The meeting was attended by:

- Zahir Mohammed (Chairman)
- David Martin (Member of Regulatory and Audit Committee)
- Tim Butcher (Member of Regulatory and Audit Committee)
- Ian Dyson (Chief Internal Auditor)
- Maggie Gibb (Risk and Insurance Manager)
- Richard Schmidt (Assistant Director (Strategic Finance))
- Clare Gray (Democratic Services Officer)

2. Gill Harding (Director Strategic Business Planning & Commercial Development), Mike Freestone (Director Transport Services) and Simon Dando (Operations Director TfB) attended the meeting to present the latest Transport Services risk registers and to provide an update on the findings of the KMPG audit.



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The Transportation transformation risk register and the TfB operational risk register were both presented and the key risks discussed. Management confirmed that the risks are regularly monitored but given the significant level of detail, a revised approach is required to avoid duplication and ensure that the risks are managed effectively. The Business Assurance Team will work with the Transport Economy and Environment Business Unit to ensure that risks are recorded, managed and monitored in line with the Risk and Assurance Strategy. This will include identifying strategic and operational risks and enabling appropriate escalation.

Members were advised that a number of actions detailed in the KPMG report have been implemented, and that a new positive working relationship with Ringway Jacobs was enabling improved behaviours. The Director of Transport Services confirmed that amendments have been made to the contract extension provisions to allow the Council more flexibility about the circumstances in which extensions to the contract can be awarded based on performance.

The strategic and operational risks for Transport Services will be presented to the Risk Management Group for further discussion in June.

3. Stephen Rawlinson (Transformation Programme Support Officer) attended the meeting to update the RMG on the Future Shape Programme and the management of risks within the programme. The RMG received a short report giving an overview of the key programme risks and progress against the detailed design phase which had been presented to the Future Shape Board on 28th January 2015. The 10 key programme risks were discussed in detail and a number of actions identified which will be followed up by the Risk and Insurance Manager during the monthly Future Shape Delivery Meeting. As the programme activity draws to a close the current risks are being allocated to the Business Units HQ or the OCB Strategic Risk register as appropriate. The RMG requested that a further update be provided after the “go-live” date of 1st April 2015, and the Director of Consultancy and Head of Innovation and Commercialisation will attend the RMG meeting in June.

4. The Director of Strategy and Policy and the Director of Assurance both attended the RMG to provide an update to members on the current position of the HQ transition plan as they progress towards go-live, and how they are managing the risks of transition during this significant period of change.

Members were presented with a report with details of the core functional areas within HQ and the current progress against key milestones, including details of the current governance arrangements in place to manage the transition.

The HQ transition risks and mitigating actions were discussed, including a detailed discussion around the risks facing the Director of Strategy and Policy in her new role as Monitoring Officer.

5. The Children’s Services Improvement Programme Manager attended the meeting on behalf of the Managing Director Children’s Social Care and Learning to present an update on the Improvement Programme and risk register. The Improvement Board meet on a monthly basis, and had received an overview of the Programme risks as well as the detailed risk registers for the workstrands at the January meeting. The risks are under regular review and as the programme is moving at a significant pace, current risks are updated and new risks added on an on-going basis. The Director of Assurance and Business Assurance Manager attend the Improvement Board meetings as this area continues to be high priority for the Council. A further update will be presented in June.

Background Papers

Risk Management Group Minutes
